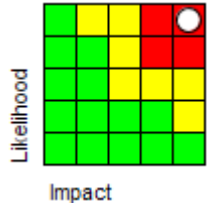
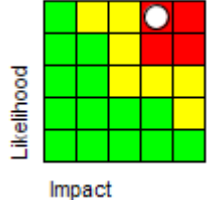
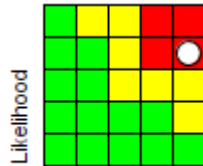
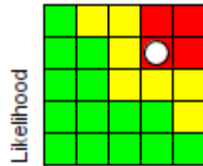
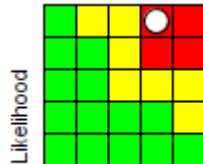
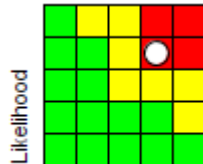


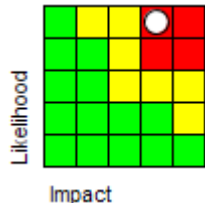
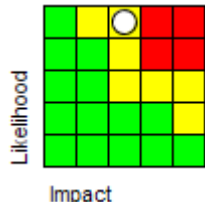
Appendix A - Overview of Corporate Level Risks

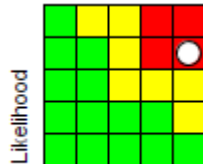
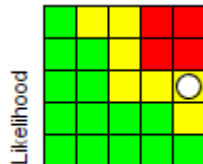
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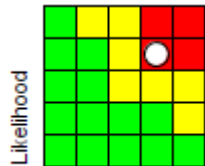
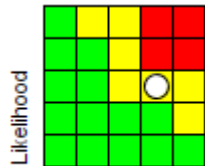
Risk Code & Title	R013 Failure to provide affordable housing and supporting infrastructure in the district in line with identified need.	Uncontrolled Risk Score		25
Consequence / Impact Description	This would increase the level of homelessness cases and create additional pressure on the Council's services and finances.	Current Controlled Risk Score		20
Internal Controls	• Agreement on countywide housing distribution • Council adoption of appropriate housing needs policies • Feedback to consultation processes • Input into Strategic Planning Groups • Review of options to deliver affordable housing & balanced housing market • Following Council approval additional properties are being purchased to be used as Council owned Temporary Accommodation. This includes 35 new houses in Countesthorpe and 6 in Glen Parva • The local plan policy includes 25% affordable homes on sites of 10 or more dwellings	Latest Note	There is no change to the risk rating.	
		Latest Note Date	18 Jun 2026	

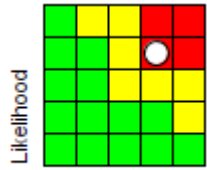
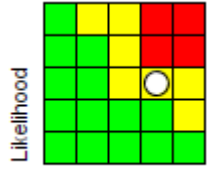
Risk Code & Title	R007 Impact on financial position as a result of lack of certainty around future funding streams (i.e. Settlement Funding, Extender Producer Responsibility for Packaging Funding and also costs associated with LGR), and cost of living crisis.	Uncontrolled Risk Score		20
Consequence / Impact Description	There could be a negative impact on delivery of services affecting residents, businesses and resources.	Current Controlled Risk Score		16
Internal Controls	• Awareness & Understanding of national policy changes • Balanced budget approved • Deliver Action Plan of Commercialisation Strategy • Maintain an awareness of changing priorities • MTFS in place • Strategy to maximise growth of Business Rates • Working with significant partners • Maintain adequate level of reserves • Financial plan now in place with measures to reduce the budget gap.	Latest Note	There is no change to the risk rating.	
		Latest Note Date	18 Jun 2026	

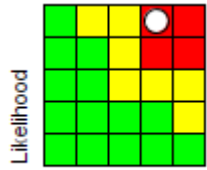
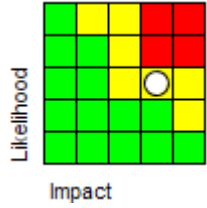
Risk Code & Title	R158 The Council is unable to meet its 5 year land supply target	Uncontrolled Risk Score		20
Consequence / Impact Description	Without a 5 year Housing land supply, speculative development could take place across the district. There would be no control over development and to create the capacity of the 5 year housing land supply. The Council would not meet it's housing targets or operate with the new national planning framework.	Current Controlled Risk Score		16
Internal Controls	• Re-establish land supply through new local plan • Ensure that Planning Committee are sufficiently well-trained to be able to approve favourable housing applications	Latest Note	There is no change to the risk rating.	
		Latest Note Date	18 Jun 2026	

Risk Code & Title	R019 Cost of living crisis and other external factors impacting on services.	Uncontrolled Risk Score		20
Consequence / Impact Description	Leading to an increased demand for the Council's services.	Current Controlled Risk Score		15
Internal Controls	• CAB service • Earmarked reserve in place to support cases of serious hardship • Communication with food banks to be able to provide support • Supporting residents in times of crisis	Latest Note	There is no change to the risk rating. Officers are currently looking at options for utilising the hardship reserve to help and support residents who are facing hardship and potential homelessness.	
		Latest Note Date	18 Jun 2026	

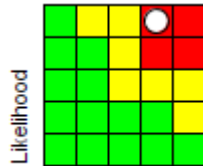
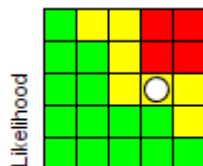
Risk Code & Title	R184 The Council's Residential Stock does not meet the required regulatory standards, including Health and Safety, Decent Homes Standards and Awabb's Law	Uncontrolled Risk Score		20
Consequence / Impact Description	This results in poor health and wellbeing outcomes for our tenants and leaves the Council exposed to judicial challenge and compensation claims.	Current Controlled Risk Score		15
Internal Controls	• Robust regulatory oversight through the Housing Management Group and Board to ensure health and safety compliance and the welfare of our tenants is assured. • 6 weekly review meetings being undertaken for any issues to be addressed promptly.	Latest Note	There is no change to the risk rating. Six weekly review meetings are being undertaken for any issues to be addressed promptly.	
		Latest Note Date	18 Jun 2026	

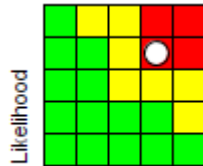
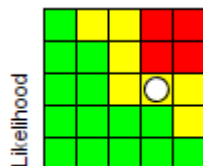
Risk Code & Title	R014 Impact on structural, legislative and budgetary changes in other Public Sector organisations (e.g. DWP, Health, NHS, Police, Leicestershire CC).	Uncontrolled Risk Score		16
Consequence / Impact Description	There would be an impact on the Council's financial position & delivery of services.	Current Controlled Risk Score		12
Internal Controls	• Maintain awareness & respond to implications of emerging changes in public sector delivery organisations	Latest Note	There is no change to the risk rating.	
		Latest Note Date	18 Jun 2026	

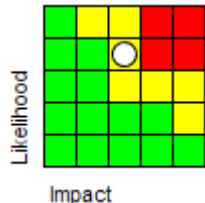
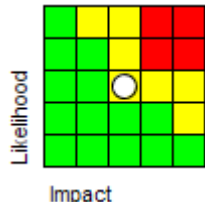
Risk Code & Title	R021 External factors influencing the Council's progress on achieving the 2030 net zero carbon reduction target.	Uncontrolled Risk Score		16
Consequence / Impact Description	This would affect the Council's reputation and have a detrimental impact on the area and environment.	Current Controlled Risk Score		12
Internal Controls	• Carbon reduction action plan in place • Dedicated officer working on "green" initiatives • Cross service working group in place to maintain high profile and awareness • Fleet replacement strategy under review • Member steering group to be formed • Establishment of Climate Action Board	Latest Note	There is no change to the risk rating. The price of HVO has reduced in the last quarter.	
		Latest Note Date	18 Jun 2026	

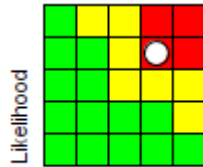
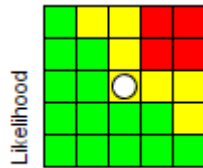
Risk Code & Title	R071 Failure to provide appropriate temporary accommodation for homeless households	Uncontrolled Risk Score		20
Consequence / Impact Description	We would see an increase in homeless households sleeping rough.	Current Controlled Risk Score		12
Internal Controls	• Continue to source alternative provision of temporary accommodation in the District • We continue to see high numbers of approaches but we have a wide variety of sources of accommodation to house homeless families and single persons. • The Council has a number of owned properties to provide temporary accommodation for homelessness residents including securing a lease of a building containing a large number of self-contained units which will reduce our reliance on Bed and Breakfast accommodation for single households • Our relationships with EMH means that we are able to look to utilise a limited number of their stock and in the private rented market we work with providers who can locate self-contained larger units for us to enable us to house larger families as required.	Latest Note	There is no change to the risk rating. There has been progression on the purchase of 35 properties in Countesthorpe and 6 in Glen Parva.	
		Latest Note Date	18 Jun 2026	

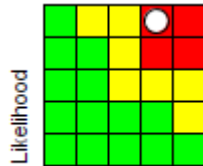
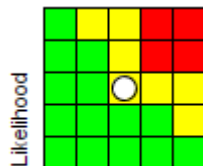
	• We are progressing on the purchase of 35 properties in Countesthorpe and 6 in Glen Parva • We are working with families immediately as soon as they present as homeless		
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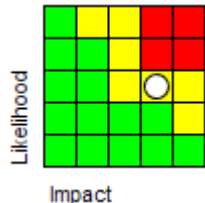
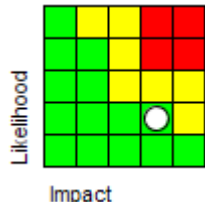
Risk Code & Title	R131 That the Leicestershire Building Control Partnership is unable to deliver the service due to lack of in-house capacity and/or the costs of employing consultants.	Uncontrolled Risk Score		20
Consequence / Impact Description	There is a risk that the Building Control Partnership will be unable to continue to attract and retain surveyors which would mean employing consultant surveyors at a much greater cost to the Partnership. Partners could decide to leave the Partnership which would affect Blaby District Council's financial position.	Current Controlled Risk Score		12
Internal Controls	• Quarterly Board Meetings • Regular contact with members of the Partnership • We have already implemented a recruitment and retention package. • Market share increase does however mean we have a chance of income which then in turn supports our ability to offer incentives to keep or attract staff. • Partnership liaison.	Latest Note	There is no change to the risk rating.	
		Latest Note Date	18 Jun 2026	

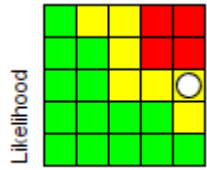
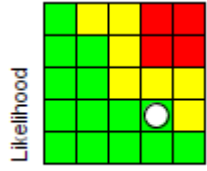
Risk Code & Title	R157 The Council is unable to deliver a new Local Plan	Uncontrolled Risk Score		16
Consequence / Impact Description	There is a risk that the Council may not be able to adopt a new local plan if agreement cannot be reached over sites to be included that contribute towards our target land supply. Linked to R158. This could have an impact on the Council's reputation.	Current Controlled Risk Score		12
Internal Controls	• Identify additional sites for residential development • Release appropriate sites for residential development through the development management process • Progress the local plan in accordance with the Local Development Scheme • Member training plan in place • Resource in place to ensure delivery	Latest Note	There is no change to the risk rating. The Blaby District Local Plan (Proposed Submission Version): Regulation 19 Publication report was approved at Council on 30th June 2026.	
		Latest Note Date	18 Jun 2026	

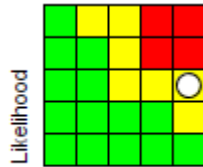
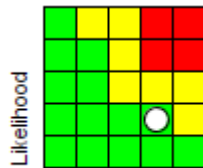
Risk Code & Title	R001 Engagement of elected members negatively impacting on decision making process	Uncontrolled Risk Score		12
Consequence / Impact Description	This could lead to issues regarding proper decision making.	Current Controlled Risk Score		9
Internal Controls	• Cabinet & Leader awareness and development • Code of conduct • Member development strategy/ supporting roles & responsibilities • Recruitment/member succession • Audit & Corporate Governance Committee • Training/Cabinet development • Induction Programme for new members • New, cross-party Whips Group in place. • Additional training planned to be undertaken for the leader and cabinet members. • Media training.	Latest Note	There is no change to the risk rating.	
		Latest Note Date	18 Jun 2026	

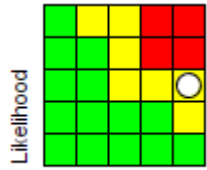
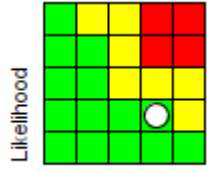
Risk Code & Title	R009 Contracts may fail to deliver intended outcomes if not managed effectively.	Uncontrolled Risk Score		16
Consequence / Impact Description	If contracts are not managed effectively, improvements and efficiencies may not be delivered.	Current Controlled Risk Score		9
Internal Controls	• Ensure that effective contract management arrangements are put in place as part of procurement process. • Manage SLM Contract through quarterly governance meetings. • Awareness and contract management training delivered to staff involved with procurement. • Development of working relationship with the Welland Procurement Unit. • Review being undertaken of the contract regulations in the Constitution and additional guidance being shared with staff. • An internal audit of the procurement and contracts register has been undertaken and actions identified. • The Contract Procedure rules are being reviewed and revised, and training planned to be undertaken by Welland to ensure procurement is undertaken in line with the Councils CPRs and contracts managed effectively across the Council.	Latest Note	The risk rating has been increased. A review of the contracts register has recently been undertaken, and BDC specialised training covering the whole procurement process through to efficient contract management has been scheduled to be undertaken over the next 3 months. This is planned to increase knowledge and improve contract management across the Council.	
		Latest Note Date	18 Jun 2026	

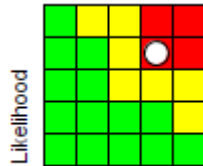
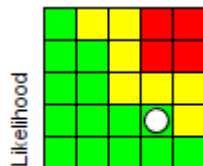
Risk Code & Title	R126 Impact of Industrial Action on Services & Residents	Uncontrolled Risk Score		20
Consequence / Impact Description	Disruption to Council services impacting on residents and businesses in the District.	Current Controlled Risk Score		9
Internal Controls	• Alternative workforce where possible; mental health support in place; arbitration measures; regular communication to staff, members, and residents; SLT presence at depot • Monitoring national trends • Regular meetings with Unions - JCC - bi-annually; Unison - quarterly.	Latest Note	The risk rating has been increased. The National Employers proposed an NJC pay award of 3.3% for all NJC pay points (applicable from 1st April 2026). However, major trade unions (including UNISON and Unite) have rejected the offer and are actively balloting members for industrial action.	
		Latest Note Date	18 Jun 2026	

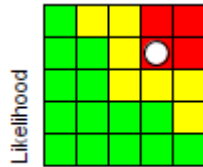
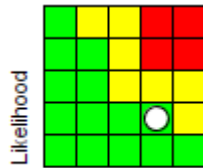
Risk Code & Title	R002 Blaby District Plan is not deliverable within available resources.	Uncontrolled Risk Score		12
Consequence / Impact Description	If we do not deliver the corporate objectives the quality of life of residents and those who work in the district will not be improved.	Current Controlled Risk Score		8
Internal Controls	• All service plans aligned to Blaby District Plan objectives • Be clear about expected outcomes • Monitor delivery of Blaby District Plan • Review Blaby District Plan priorities regularly • Reserves give us a choice to put resources in should it be required	Latest Note	There is no change to the risk rating.	
		Latest Note Date	18 Jun 2026	

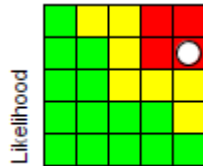
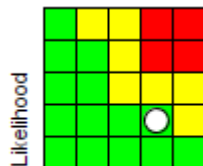
Risk Code & Title	R003 Failure to have effective safeguarding arrangements and a plan in place to safeguard children and vulnerable adults.	Uncontrolled Risk Score		15
Consequence / Impact Description	Children and vulnerable adults may be placed in danger.	Current Controlled Risk Score		8
Internal Controls	• Active Member of District DSO Group • Continuous training of designated officers, review of policies • Ensuring enough trained officers are available • Policies in place and effectively communicated to staff	Latest Note	There is no change to the risk rating.	
		Latest Note Date	18 Jun 2026	

Risk Code & Title	R004 Failure to ensure adherence to internal control arrangements.	Uncontrolled Risk Score		15
Consequence / Impact Description	Failure to ensure adherence to internal control arrangements would leave the council open to fraud, affecting reputation. If adequate fraud & corruption prevention arrangements are not in place this leaves the council open to potential financial losses, wrong doing, breaches of the councils procedures & policies & legal responsibilities.	Current Controlled Risk Score		8
Internal Controls	• Anti Fraud & Corruption/Benefit Fraud policies reviewed & adopted. • Staff/Member training • Employees adhere to governance procedures • Ensure we do not employ staff with false records • Use of NAFN bulletins to maintain awareness of latest threats • Policies and procedures ensure segregation of duties	Latest Note	There is no change to the risk rating.	
		Latest Note Date	18 Jun 2026	

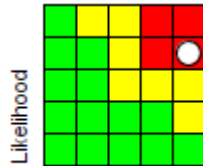
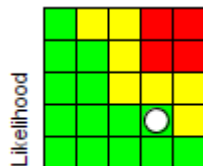
Risk Code & Title	R006 Lack of effective Emergency Planning and Business Continuity arrangements	Uncontrolled Risk Score		15
Consequence / Impact Description	Service delivery would be negatively affected impacting on residents, businesses and staff. There could also be potential damage to the Council's reputation.	Current Controlled Risk Score		8
Internal Controls	• All key EP & BC documents on Resilience Direct • Internal EP & BC working group meeting • Periodic training with SLT & key officers of plans • Out of hours Emergency Contact Centre Contract (First Call) • Partnership with the Leicestershire Resilience Forum • Senior Leadership Team On Call Rota	Latest Note	There is no change to the risk rating. Business Continuity Plans are currently being reviewed and updated by all Service Managers.	
		Latest Note Date	18 Jun 2026	

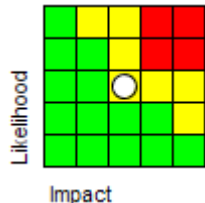
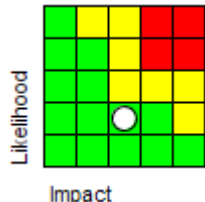
Risk Code & Title	R011 Failure to identify, record, monitor and report health and safety risks.	Uncontrolled Risk Score		16
Consequence / Impact Description	There could be a negative impact on the health and safety of staff and residents.	Current Controlled Risk Score		8
Internal Controls	• Effective Health & Safety Committee • Effective Health & Safety procedures • Service blueprints • Dedicated Health & Safety Officer • Accident/Incident & Near Miss Reporting Procedure	Latest Note	There is no change to the risk rating, however there has been an increase of near miss reporting due to operational staff awareness and encouragement from the Depot Management Team.	
		Latest Note Date	18 Jun 2026	

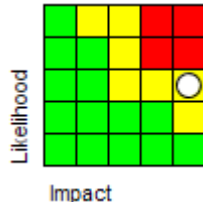
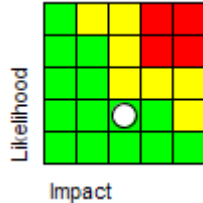
Risk Code & Title	R012 Closure, downsizing of premises or relocation of a major district employer.	Uncontrolled Risk Score		16
Consequence / Impact Description	This may cause significant unemployment, retraining requirements and a potential loss of Business Rates.	Current Controlled Risk Score		8
Internal Controls	• Review the Council's Economic Development Strategy and resources • Develop the work and skills capacity • Engage regularly with businesses to understand requirements • Work with authorities, landowners, developers & agents • The establishment of business breakfasts, the Economic development group and Tourism partnership enables the council to have links into local businesses. Through these links it is possible to understand, and hear news about, potential business closure risks, whilst also assessing what more BDC can do to support businesses. • A Business Board has been established which will add further capacity to business engagement capabilities.	Latest Note	There is no change to the risk rating.	
		Latest Note Date	18 Jun 2026	

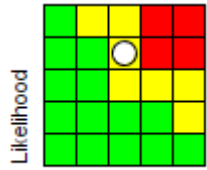
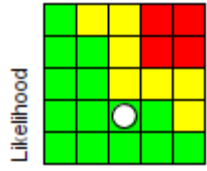
Risk Code & Title	R017 Failure of ICT systems.	Uncontrolled Risk Score		20
Consequence / Impact Description	This would mean the Council is unable to deliver services.	Current Controlled Risk Score		8
Internal Controls	• Adoption of ITIL standards around service desk management. • Internet provision resilience at both main sites (2x connections). • Location agnostic (supported by the ability to securely work “anywhere you have an internet connection”) • Zonal redundancy across the entirety of our ICT infrastructure. • File storage resiliency, immutable backups and DR policies. • Monthly privileged account review. • Cyber security – Managed Endpoint Protection, Managed Infrastructure Protection, Security Operations Centre (24/7/365 monitoring), Zero Day Threat Alerts, CAF v4 best practice, Cyber Essentials accreditation, conditional access policies, geographical protection, phishing resistant MFA, phishing attack simulation, change management	Latest Note	There is no change to the risk rating.	
		Latest Note Date	18 Jun 2026	

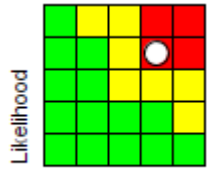
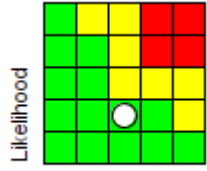
	procedures, end-user training, end-user reporting facility, device isolation, biometric device authentication. • 3rd party IT health check / external threat scanning. • Cloud presence – Patch management schedules, server & RBAC protection.		
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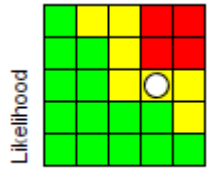
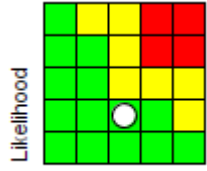
Risk Code & Title	R018 ICT security breaches and non-compliance with Government security standards.	Uncontrolled Risk Score		20
Consequence / Impact Description	That there could be a cyber attack.	Current Controlled Risk Score		8
Internal Controls	• Cyber security – Managed Endpoint Protection, Managed Infrastructure Protection, Security Operations Centre (24/7/365 monitoring), Zero Day Threat Alerts, CAF v4 best practice, Cyber Essentials accreditation, conditional access policies, geographical protection, phishing resistant MFA, phishing attack simulation, change management procedures, end-user training, end-user reporting facility, device isolation, biometric device authentication. • 3rd party IT health check / external threat scanning. • PSN Accreditation. • DWP MOU in place. • Information governance process in place for reporting breaches.	Latest Note	There is no change to the risk rating. A Council wide phishing exercise was undertaken in February 2026 and the results were positive, it is planned to undertake two campaigns a year.	
		Latest Note Date	18 Jun 2026	

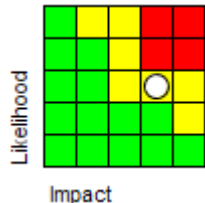
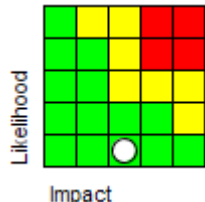
Risk Code & Title	R008 Failure to comply with legislation.	Uncontrolled Risk Score		9
Consequence / Impact Description	The Council would not meet it's statutory obligations.	Current Controlled Risk Score		6
Internal Controls	• Constitution regularly reviewed and kept up to date • Annual Governance Statement • Independent Member Committees • Skilled workforce • Training/CPD • The organisation promotes and demonstrates the principle and values of good governance	Latest Note	There is no change to the risk rating.	
		Latest Note Date	18 Jun 2026	

Risk Code & Title	R020 Elevated levels of methane from landfill site at Huncote Leisure Centre and surrounding site.	Uncontrolled Risk Score		15
Consequence / Impact Description	The impact on the area and environment.	Current Controlled Risk Score		6
Internal Controls	• Additional monitoring and venting wells installed • Regular communication with partners on site • Trenches installed around perimeter of building, including a pump and pipework to drain water away to land at rear of site • A further active ventilation stack to be installed to draw gas away from building • A 24 hour service and maintenance contract which provides immediate response to concerns • The children's centre has officially moved onto the Council's kit so there is only one in the building	Latest Note	There is no change to the risk rating.	
		Latest Note Date	18 Jun 2026	

Risk Code & Title	R130 Delivery of the Hospital Enablement Team Model Becomes Unsustainable	Uncontrolled Risk Score		12
Consequence / Impact Description	HET is fully externally funded. One of the funders may decide that they are unable to continue to support the service due to increasing financial pressures. However, the main risk is over loss of confidence in our ability to deliver the service, and alternative models might be explored.	Current Controlled Risk Score		6
Internal Controls	• Regular board meetings in place • Recognition of partners' financial position • Regular budget monitoring • 100% external funding in place	Latest Note	The risk rating has been reduced as an agreement is in place until the end of the financial year.	
		Latest Note Date	18 Jun 2026	

Risk Code & Title	R132 Delivery of the Lightbulb Model becomes unsustainable	Uncontrolled Risk Score		16
Consequence / Impact Description	Unable to deliver the Lightbulb service to residents.	Current Controlled Risk Score		6
Internal Controls	• Regular board meetings in place • Recognition of partners' financial position • Regular monitoring of budget • Meetings held with partners as part of the contract planning process • Inclusion on Internal Audit Plan	Latest Note	There is no change to the risk rating.	
		Latest Note Date	18 Jun 2026	

Risk Code & Title	R178 Procurement Advice provided is incorrect	Uncontrolled Risk Score		12
Consequence / Impact Description	Procurement regulations are not adhered to affecting the Council's reputation and potentially legal consequences.	Current Controlled Risk Score		6
Internal Controls	• Knowledge maintained of relevant legislation • Ongoing training provided for Managers and officers • Legal team engaged early on in the process and throughout • Contract Regulations are included within the Constitution	Latest Note	There is no change to the risk rating. BDC specialised training covering the whole procurement process through to efficient contract management has been scheduled to be undertaken over the next 3 months. This is planned to increase knowledge and improve contract management across the Council.	
		Latest Note Date	18 Jun 2026	

Risk Code & Title	R177 Uncontrolled Use of Artificial Intelligence	Uncontrolled Risk Score		12
Consequence / Impact Description	Staff sharing council data without due oversight with Public domain AI services. Staff using AI tools without sufficient oversight and business sign-off. Staff using AI tools without sufficient knowledge of the implications of using AI tools	Current Controlled Risk Score		3
Internal Controls	• Establishment of AI Governance • Ongoing user training and awareness of GDPR, data breaches and cyber security • BDC AI Policy in place and accessible through iBlaby • BDC AI Procedure in place and accessible through iBlaby	Latest Note	There is no change to the risk rating. User training has been provided for staff at Tech Breakfast and Tech Lunch sessions.	
		Latest Note Date	18 Jun 2026	